

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Hartman Raymond John II Survival Response Technologies 112 Thunder Road Linden, PA 17744, Lycoming	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 766090-2017-0029-B1B		
	3. PERMIT/LICENSE NUMBER 823081078E03799	4a. TARGET DATE 2/23/2017	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, Wilkes Barre, Pennsylvania 18702, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
Conduct Typ 07 manufacturer inspection.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC.		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	7	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 03/08/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2017	13.00		
MAR 2017	33.00		
ATF OFFICER'S SUBTOTAL	46.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	46.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
Type 07 compliance investigation disclosed two cited violations. FFL had a repeat violation for failure to record disposition of firearms. A violation of 27 CFR 478.123(b) was cited for failure to record disposition of firearms to other FFLs and a violation of 27 CFR 478.123(d) was cited for failure to record disposition of firearm to a non-licensee. All entries were reconciled and annotated; no firearms were missing at culmination of investigation. FFL had acquired (b)(4) guns, disposed of (b)(4) and had 46 Forms 4473 during the inspection period. (b)(3) - (26 USC § 6103)  
(b)(3) - (26 USC § 6103)  
(b)(3) - (26 USC § 6103) There were 124 guns in stock and 130 open entries at beginning of inspection. Concur with IOI's recommendation of Warning Letter to address the repeat violation and no recall necessary at this time. A Warning Letter is being sent CM-RRR on 3/10/17. Show inspection date as 3/7/17.

Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) Area Supervisor		(b)(6)	REVIEW DATE 03/09/2017

1. OPERATING NAME AND ADDRESS  
(INCLUDE ZIP CODE AND COUNTY)

Hartman Raymond John II  
Survival Response Technologies  
112 Thunder Road  
Linden, PA 17744, Lycoming

2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)

766090-2017-0029-B1B

3. PERMIT/LICENSE NUMBER

823081078E03799

4a. TARGET DATE

2/23/2017

4b. TARGET HOURS

5. REQUESTED BY (SIGNATURE, TITLE AND DATE)

ROUTING SEQUENCE AND DATE:

- ☒ 1. FFLC  
☒ 2. office files  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write clearly with a ball-point pen when completing this form. ATF officers will prepare this form in quadruplicate. The original copy and the suspense copy (where required) will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor <b>Hartman, Raymond J. III</b>	Street Address <b>112 Thunder Road</b>	City <b>Linden</b>	State <b>PA</b>	ZIP Code <b>17744</b>	County <b>Lycoming</b>	Page <b>1</b>	of <b>1</b>
License/Permit Number (if any) <b>8-23-03799</b>		Expiration Date <b>5/1/88</b>	Date(s) or Period of Inspection <b>2/8/17 - 3/7/17</b>				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (if not corrected immediately)	Date Corrections to be Made (if not corrected immediately)
1)	27CFR478.123(b)	Licensee failed to record the disposition of firearms to other licensees in the acquisition and disposition record books.	Licensee advised of time requirements for recording acquisitions and dispositions in the acquisition and disposition record books.	
2)	27CFR478.123(d)	Licensee failed to record the disposition of firearms to non-licensee in the acquisition and disposition record books.	Licensee advised of time requirements for recording acquisitions and dispositions in the acquisition and disposition record books.	

I Have Received a Copy of This Report of Violations (Proprietor's signature and date)

Signature and Title of A

(b)(6)

Senior Inspecting Operations Investigator

Date **3/9/17**

Date **3/7/17**

ATF Form 7670.1  
Revised April 2016

COPY 2 - INSPECTION





U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Philadelphia Field Division

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[www.atf.gov](http://www.atf.gov)

March 9, 2017

766090: (b)(6)  
5400  
8-23-03799

Mr. Raymond John Hartman II  
dba Survival Response Technologies  
112 Thunder Road  
Linden, PA 17744  
FFL #8-23-03799  
Certified Receipt- 7010 2780 0002 7073 2709

Dear Mr. Hartman:

During a recent investigation at Survival Response Technologies, covering the period of February 8, 2016 to February 8, 2017, you were cited for violations of 27 CFR 478.123(b) and 27 CFR 478.123(d). A copy of the Report of Violations, ATF F 5030.5, issued following the inspection, is enclosed. This is a repeat violation of failure to record the disposition of firearms in acquisition and disposition records.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Senior Industry Operations Investigator, (b)(6). You indicated that you understood the requirements of the Federal firearms laws and regulations and that corrective actions would be taken to prevent future violations.

It is to be understood that complying with the Federal firearms laws and regulations that govern your business is critical to public safety and important to law enforcement in our continuing efforts to reduce violent crime and protect the public. Specifically, the entries in your acquisition and disposition records must be complete and accurate at all times. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Please feel free to contact us if you have any questions concerning your responsibilities as a licensee, or require further clarification about any particular requirement of Federal firearms laws or regulations. The Wilkes-Barre Field Office number is 570-820-2210.

Sincerely yours,

**(b)(6)**

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center  
Wilkes-Barre Area Office files