

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Buchanan Gunsmithing & Custom Firearms, LLC Buchanan Custom 103 Locust Drive Milford, PA 18337, Pike	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 766090-2016-0066-B1B		
	3. PERMIT/LICENSE NUMBER 823103077K05124	4a. TARGET DATE 9/13/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) N41.32688, W074.90135		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, Wilkes Barre, Pennsylvania 18702, 10/30/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct type 07/manufacture DE Investigation.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	3	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	8	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator
Submitted on: 09/27/2016

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
SEP 2016	51.00		
ATF OFFICER'S SUBTOTAL	51.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	51.00		

13. REVIEW AND ROUTING
REVIEW COMMENTS AND RECOMMENDATION

Type 07 DE Compliance investigation disclosed 3 cited violations. 478.123(b) was cited for the manufacturer not logging out ⁰⁷firearms that were transferred to other dealers; 478.123(d) was cited for the manufacturer not logging out ⁰⁷firearms that were transferred to non-licensees; and 478.126a was cited for ⁰⁷instance, involving ⁰⁷handguns, that the FFL failed to report a multiple sale. All violations were rectified and no firearms were found to be missing. There were 21 guns in stock and 30 open entries. 55 ATF Forms 4473 were examined and there were 105 guns in and out during the inspection period. Concur with the IOI's recommendation of ROV WL only and no recall. WL will be sent CM-RRR on 9/29/16. Show inspection date as 9/15/16.

Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor	(b)(6)	REVIEW DATE 09/28/2016	

ROUTING SEQUENCE AND DATE

<input checked="" type="checkbox"/> 1. FFLC	
<input checked="" type="checkbox"/> 2. Office files	
<input type="checkbox"/> 3.	
<input type="checkbox"/> 4.	

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in duplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Buchanan Gunsmithing & Custom Firearms, LLC Buchanan Custom	Street Address 103 Locust Drive	City Milford	State PA	Zip Code 18337-	County Pike	Page 1 of 1 Pages
License/Permit/Registry Number (if any) 823103077&05124		Expiration Date 10/1/2017		Date(s) or Period of Inspection 09/08/2015 through 09/08/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to record the disposition of (b)(3) 112 PG firearms in the A&D record. The (b)(3) 112 PG

Citation: 27 CFR 478.123(b)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee located disposition paperwork documenting the disposition of the firearms. Licensee recorded the disposition of the firearms in the A&D records.

Number: 2

Nature of Violation:

Licensee failed to record the disposition of (b)(3) 112 PG firearms in the A&D records. The (b)(3) 112 PG

Citation: 27 CFR 478.123(d)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee located disposition paperwork documenting the disposition of the firearms. Licensee recorded the disposition of the firearms in the A&D records.

Number: 3

Nature of Violation:

Licensee failed to report (b)(3) 112 PG multiple sale of (b)(3) 112 PG handguns on ATF Form 5310.11.

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

During the inspection the licensee prepared and submitted the multiple sale report documenting the previously unreported multiple sale.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Wm J Buchanan

Date

9-21-16

Signature and Title of ATF Officer

(b)(6)

IOI

Date

9/21/16



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Philadelphia Field Division

www.atf.gov

September 29, 2016

766090: (b)(6)
5400
8-23-05124

Mr. William Buchanan
Buchanan Gunsmithing & Custom Firearms LLC
dba Buchanan Custom
103 Locust Drive
Milford, PA 18337
FFL #8-23-05124
Certified Receipt- 7010 2780 0002 7073 2556

Dear Mr. Buchanan:

During a recent investigation at Buchanan Custom, covering the period of September 8, 2015 to September 8, 2016, you were cited for violations of 27 CFR 478.123(b), 27 CFR 478.123(d), and 27 CFR 478.126a. A copy of the Report of Violations, ATF F 5030.5, issued following the inspection is enclosed.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator, (b)(6). You indicated that you understood the requirements of the Federal firearms laws and regulations and that corrective actions would be taken to prevent future violations.

It is to be understood that complying with the Federal firearms laws and regulations that govern your business is critical to public safety and important to law enforcement in our continuing efforts to reduce violent crime and protect the public. Specifically, the entries in your acquisition and disposition records must be complete and accurate at all times. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Please feel free to contact us if you or your employees have any questions concerning your responsibilities as a licensee, or require further clarification about any particular requirement of Federal firearms laws or regulations. The Wilkes-Barre Field Office number is 570-820-2210.

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Wilkes-Barre Area Office files