U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND		2. U.I. NUMBER (2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)					
(INCLUDE ZIP CODE AND			0.0500477.1050	766020-2016-0146-B1B				
RICHARD L DOTTA / 330 W MOORESTOW	COINC		1	ERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOUF 823095016M04514 5/1/2016				
NAZARETH,PA 18064	AMPTON				E, TITLE AND DATE	 <u>=</u>)		
			40.79825 075	40.79825 075.35168				
6. ATF OFFICER(S) ASSIGN (b)(6) - Lead I	NED nvestigator							
7. ASSIGNED BY (SIGNATU	JRE, TITLE A	ND DATE)						
(b)(6)	, Area	a Supervisor, 1	2/14/2015					
8. PURPOSE/SPECIAL INS	TRUCTIONS							
Conduct DE Compliand	e Inspectio	n utilizing guid	elines set forth in	n the IO Manual.				
O INCRECTION DECLIETO	ATIONIC AD ILIOTA	AFNITO FTO	ITO ETO 40 TRAVEL EXPENSES (ORTIONAL)					
9. INSPECTION RESULTS NO. OF VIOLATIONS	1	NO. OF REFER	ATIONS, ADJUSTN RRALS	MENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL) 2111 - PER DIEM		
NO. OF TECS CHECKS		NO. OF TECS			2112 - 1			
NO. OF TAX		\$ VALUE OF TA	AX INCREASES		2113 - 0	COMM. AIR		
ADJUSTMENTS		\$ VALUE OF TA	AX DECREASES		2114 - 1	RENTAL CAR		
NO. OF ASSESSMENTS		\$ VALUE OF A	SSESSMENTS		2115 -	GPV EXPENSES		
NO. OF CLAIMS		\$ VALUE OF C	LAIMS		2116 - 1	MISC.		
NO. OF TAX PERIODS		\$ VALUE OF TA	AXES VERIFIED		TOTAL	\$ FOR INSP.		
11. ATF OFFICER'S RECOM Submitted by (b)(6) Submitted on: 04/20/20 Viols WL ONLY an	- Indus	stry Operations	Investigator					
			12. TIME ACCO	OUNTING DATA				
ATF OFFICER'S NAME (MC	 ONTH, YEAF	R, HOURS))(6)				,	
DEC 2		3.00	0.0000000000000000000000000000000000000					
FEB 2016 25.00								
APR 2	016 	26.00						
ATF OFFICER'S SUBTOTAL 54.00			ATF OFFICER'S SIGNATURE					
TOTAL HOURS		54.00						
			13. REVIEW A	AND ROUTING				
REVIEW COMMENTS AND Viols WL ONLY and								
\ ₩ RI	EVIEWED	ズ CON	CUR TT	SEE COMMENTS	₩ FINAI	DISPOSITION		
SIGNATURE AND TITLE		K7/ 0014			REVIEW			
(b)(6) - Area Supervisor						04/20/20	16	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 766020-2016-0146-B1B					
RICHARD L DOTTA AUCTION CO INC 330 W MOORESTOWN RD	3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 823095016M04514 5/1/2016					
NAZARETH,PA 18064, NORTHAMPTON	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)					
	40.79825 075.35168					
ROUTING SEQUENCE AND DATE						
☐ 1						
2	CONTROL FILE POSTED DATE					
3						
<u> </u>						

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Please write firmly with a ball point pen when con representative. The remaining copies will be subm	npleting this form. ATF itted with the complete	officers will prepare th	ructions nis form in triplic pervisors will det	ate. The original	copy will be given	n to the proprietor or a respon	ısible
Name of Proprietor RICHARD L DOTTA AUCTION CO INC	Street Address 330 W MOORESTOV		City NAZARETH	State PA	-	County NORTHAMPTON	Page 1 of 1 Pages
License/Permit/Registry Number (If any) 823095016M04514 An examination of your premises, records and open	<u></u>	Date(s) or Period of Inspection 02/23/2016 through 04/11/2016 Inspection Results					
Number: 1 Nature of Violation: Licensee failed to prepare and submit a Report of and Revolvers, ATF Form 3310.4 on Occ. Citation: 27 CFR 478.126a	f Multiple Sales or Othe asions involving for	er Disposition of Pistol handguns.	(If not corrective A	ctions to be Mac ected immediatel Action to be Tak ected immediatel	v) en: Licensee prep or Other Disp	ared and submitted a Report ositions of Pistol and Revolv oruary 9, 2016.	
I Have Received a Copy of This Report of Violations	(Proprietor's signatu	ire and title)				Date	2
Signature and Title of ATF Officer April 9 2019 Document P	coduction		363			Date)



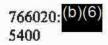
U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Lansdale Area Office

www.sti'gov

April 18, 2016



Richard L. Dotta Auction Company 330 W. Moorestown Road Nazareth, Pa 18064 FFL 8-23-095-01-6M-04514 Certified Reciept-7014 0150 0001 3969 6484

Dear Mr. Dotta:

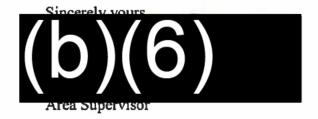
During a recent compliance inspection at your firearms business covering the period of February 23, 2016 through March 11, 2016, you were cited for violation of 27 CFR 478.126a.

The violation was fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator, (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations relative to this issue.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are further reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact me, or an Industry Operations Investigator at the Lansdale Area Office at (215) 362-1840.



Enclosure

cc: Federal Firearms Licensing Center