

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) DIXIE SUPPLY, LLC DIXIE SUPPLY COMPANY/CAROLINA SILENCER 738 LAVALIER SQUARE MOUNT PLEASANT, SC 29464, CHARLESTON		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763075-2015-0300-B1B		
		3. PERMIT/LICENSE NUMBER 157019076C04108	4a. TARGET DATE 9/30/2015	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) Area Supervisor, 07/27/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS CONDUCT COMPLIANCE INSPECTION.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS		6	NO. OF REFERRALS	
NO. OF TECS CHECKS			NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2111 - PER DIEM
		\$ VALUE OF TAX DECREASES		2112 - P.O.A.
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2113 - COMM. AIR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2114 - RENTAL CAR
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2115 - GPV EXPENSES
				2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 09/10/2015 Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
AUG 2015		32.50		
SEP 2015		14.00		
ATF OFFICER'S SUBTOTAL		46.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS		46.50		
13. REVIEW AND ROUTING				
REVIEW COMMENTS AND RECOMMENDATION				
Name of Entity - Dixie Supply, LLC FFL/APP - 1-57-04108 Lead - (b)(6) Narrative: A firearms compliance investigation was conducted. Recommendation: Violts WL ONLY and No Recall Inspection. Last Inspection Date: 8/24/15 E-mailed to FEL Actions-Charlotte/Examiner (b)(6) Violts WL ONLY and No Recall Inspection				
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION				
SIGNATURE AND TITLE (b)(6) - Area Supervisor		(b)(6)		REVIEW DATE 09/10/2015

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ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor DIXIE SUPPLY, LLC DIXIE SUPPLY COMPANY/CAROLINA SILENCER	Street Address 738 LAVALIER SQUARE	City MOUNT PLEASANT	State SC	Zip Code 29464-	County CHARLESTON	Page 1 of 3 Pages
License/Permit/Registry Number (if any) 157019076C04108		Expiration Date 3/1/2016	Date(s) or Period of Inspection 08/12/2014 through 08/12/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to furnish all of the information called for in each ATF Form 5300.11, Annual Firearms Manufacturing and Exportation Report, as indicated by the instructions on or pertaining to the form.

1. In one (1) instance the licensee failed to retain a file copy of the ATF Form 5300.11 (for calendar year 2013).

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The violation was uncorrectable. In the future the licensee will maintain copies of the ATF Form 5300.11 as part of the required records.

Number: 2

Nature of Violation:

The license failed to record the type, model, caliber or gauge, and serial number of each complete firearm manufactured or otherwise acquired, and the date such manufacture or other acquisition was made.

1. In ^{(b)(3) (1)(2) Pub} instances the licensee failed to properly record the manufacture of AR-15 rifles from stripped lower receivers not later than seven (7) days following the date of such manufacture.

2. In ^{(b)(3) (1)(2) Pub} instance the licensee failed to record the acquisition of an AR-15 pistol not later than seven (7) days following the date of such acquisition.

Citation: 27 CFR 478.123(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee recorded the manufacture and acquisition of the firearms in the acquisition and disposition (A&D) record. In the future the licensee will properly record the manufacture or other acquisition of firearms as required by regulation.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Numbers: 3

Nature of Violation:

The licensee failed to enter into a record each disposition made of firearms to non-licensees as prescribed by 27 CFR 478.124.

1. In ^{(b)(3) 112 Public Law 55 125} instances the licensee failed to record the disposition of a firearm within seven (7) days following the date of the transaction.

Citation: 27 CFR 478.123(d)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee used the associated ATF Forms 4473 to record the disposition of the firearms. In the future the licensee will properly record the disposition of firearms as required by regulation.

Number: 4

Nature of Violation:

The licensee failed to properly note on the ATF Form 4473 the type of identification used.

1. On ^{(b)(3) 112 Pub L} form the licensee failed to record the number and expiration date of the identification used in Question 20a.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The violation was uncorrectable. In the future the licensee will properly and completely record the identification used on the ATF Form 4473.

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee failed to record on the ATF Form 4473 the date on which the NICS was contacted, and/or any response provided by the system.

1. On (b)(3) 112 Public forms the licensee failed to record the date on which the NICS was contacted in Question 21a.
2. On (b)(3) 112 Public form the licensee failed to record the secondary response provided by the NICS in Question 21d.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The violation was uncorrectable. In the future the licensee will properly and completely record all NICS information on the ATF Form 4473.

Number: 6

Nature of Violation:

The licensee failed to properly date the ATF Form 4473.

1. On (b)(3) 112 Public forms the licensee failed to properly date the form in Question 36.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The violation was uncorrectable. In the future the licensee will properly record the date in Question 36 of the ATF Form 4473.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date
8/26/15

Signature and Title of ATF Officer

Date
8/26/15

(b)(6)

101



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Charlotte Field Division, Columbia II

1835 Assembly Street
Columbia, SC 29201
www.atf.gov

September 10, 2015

763075: (b) (6)
5373

CERTIFIED MAIL - RETURN RECEIPT REQUESTED
WARNING LETTER

Dixie Supply, LLC
Dixie Supply Company/ Carolina
738 Lavalier Square
Mount Pleasant, SC 29464

RE: 1-57-019-07-6C-04108

Dear Mr. Ross,

During a recent compliance inspection at your firearms business covering the period August 12, 2014 to August 12, 2015, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator(s). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b) (6) at (b) (6) (b) (6).

Sincerely yours

(b)(6)

Area Supervisor, Industry Operations Investigator
Columbia II, Charlotte Field Division

cc: Federal Firearms Licensing Center
Columbia II Office