

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) W S Winslow, Inc. 1208 Sandy Cross Rd. Belvidere, NC 27919, PERQUIMANS	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763050-2017-0132-B1B		
	3. PERMIT/LICENSE NUMBER 156143019M43886	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 12/06/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct a full-scope compliance inspection. Verify spelling of RP Sherree Winslow's last name. Note any correction in PII.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	8	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	3	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 02/07/2017
The only violation that warrants a Warning Conference is violation number eight for failure to report multiple handgun sales in (b)(6) instances. IOI (b)(6) reviewed the requirement thoroughly with the licensee and employees during the inspection. IOI (b)(6) explained to the licensee and employees that forcing the customer to complete additional forms (on the same date) does not absolve of the obligation to complete the ATF Form 3310.4 by close of business the day of the multiple sale. During the inspection, all of the forms were completed and submitted electronically and IOI (b)(6) verified this by viewing copies of the completed documents attached to the ATF Forms 4473.

Violations one and seven warrant a warning letter for the missing firearm and failure to conduct a second NICS check when the transfer occurred more than 30 days after the initial check. The background check on the customer conducted after the inspection identified no prohibitions. None of the other violations require any other administrative action.

During the inspection, IOI (b)(6) reviewed the FLS printout with Mr. Winslow and the following changes are required:

1. Business Type – Storefront
2. Sherree Winslow's last name is spelled Winslow, not Winsloe.
3. Both her and Mr. Winslow's street address is (b)(6)

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2017	32.50		
FEB 2017	3.00		
ATF OFFICER'S SUBTOTAL	35.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	35.50		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 04/20/2017
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ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor W S Winslow, Inc.	Street Address 1208 Sandy Cross Rd.	City Belvidere	State NC	Zip Code 27919	County PERQUIMANS	Page 1 of 7 Pages
License/Permit/Registry Number (If any) 156143019M43886	Expiration Date 12/1/2019	Date(s) or Period of Inspection 01/22/2016 through 01/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

REPEAT OF VIOLATION CITED ON 9/17/09

In (b)(3) 112 instances, the licensee failed to record firearm acquisitions or dispositions into the bound Acquisition and Disposition Record Book.

Specifically, in (b)(3) 112 instances, firearms in inventory were not recorded into the record prior to the inspection and were acquired more than seven days prior to the inspection.

(b)(3) 112 Public Law 55 125 STAT 552

In (b)(3) 1 instance, the licensee failed to correctly record a disposition. A firearm was in inventory that had been incorrectly logged out.

(b)(3) 112 Public Law 55 125 STAT 552

In (b)(3) 112 instance, the licensee failed to record the disposition of a firearm that was missing from inventory.

(b)(3) 112 Public Law 55 125 STAT 552

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, the licensee corrected the A&D record to accurately reflect all acquisitions and dispositions. The licensee reported the missing firearm on 1/24/17 to ATF and local law enforcement. ATF Incident Number F20170000217 was received. During the inspection, IOI (b)(6) reviewed completion of the A&D record with the licensee and employees and reiterated all acquisitions must be recorded by close of business the date after receipt and all dispositions must be recorded within seven days of transfer. IOI (b)(6) recommended recording all acquisitions and dispositions immediately.

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License/Permit/Registry Number (if any) 156143019M43886		Expiration Date 12/1/2019	Date(s) or Period of Inspection 01/22/2016 through 01/22/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

In ^{(b)(3)} out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to obtain complete or correct information from the transferee in Section A of ATF Form 4473 prior to transfer of the firearm.

2012 Edition

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made: 03/01/2017

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IOI (b)(6) reviewed ATF Form 4473 with the licensee and employees and provided training regarding completion of the form. IOI (b)(6) requested the licensee obtain corrected information for (b)(3) 112 Public Law 55 125 STAT (b)(3) 112 Public Law 55 125 STAT as the missing information could affect tracing. IOI (b)(6) requested the licensee make a photocopy of the page with missing information, request the transferee complete the required information and then date and initial the correction.

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License/Permit/Registry Number (If any) 156143019M43886		Expiration Date 12/1/2019	Date(s) or Period of Inspection 01/22/2016 through 01/22/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(1)

Number: 3

Nature of Violation:

In 1 out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to obtain complete or correct information in Section B of ATF Form 4473 (2016-2017).

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IOI (b)(6) reviewed completion of ATF Form 4473 with the licensee and employees. IOI (b)(6) directed the licensee to record all identification information in Section B prior to transfer of the firearm on all future transactions. IOI (b)(6) explained that for military members, the licensee should examine the military ID and the PCS orders to a North Carolina base. If no such orders are available, the licensee may accept another government issued document that verifies the transferee's address noted in Section A of the ATF Form 4473.

Citation: 27 CFR 478.124(c)(3)(i)

Report of Violations

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License/Permit/Registry Number (If any) 156143019M43886	Expiration Date 12/1/2019	Date(s) or Period of Inspection 01/22/2016 through 01/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

In ^{(b)(3) 112} out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to record NICS information in Section B of the ATF Form 4473 prior to transfer of the firearm (2012 Edition).

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IOI (b)(6) reviewed completion of ATF Form 4473 with the licensee and employees. IOI (b)(6) directed the licensee to record all NICS information in Section B prior to transfer of the firearm. Computerized criminal background checks identified no prohibitions for either transferee.

Number: 5

Nature of Violation:

In ^{(b)(3) 112} out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to record the model and serial number of the firearm transferred in Blocks 27 and 28 of ATF Form 4473 (2012 Edition). (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IOI (b)(6) reviewed completion of ATF Form 4473 with the licensee and employees. IOI (b)(6) reviewed the A&D record and identified the firearm sold. To confirm, IOI (b)(6) requested the licensee contact the customer and verify the serial number prior to correcting the missing information on a copy of the ATF Form 4473. IOI (b)(6) directed the licensee to ensure all required firearm information is documented on the ATF Form 4473 prior to transfer of the firearm.

Report of Violations

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License/Permit/Registry Number (If any) 156143019M43886		Expiration Date 12/1/2019	Date(s) or Period of Inspection 01/22/2016 through 01/22/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(4)

Number: 6

Nature of Violation:

In (b)(3) 112 out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to record the date the firearm was transferred in Block 36 (2012 Edition).
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IOI (b)(6) reviewed completion of ATF Form 4473 with the licensee and employees. IOI (b)(6) directed the licensee to ensure the seller/transferor document the date in which the transfer took place prior to completion of the sale on all future transactions.

Citation: 27 CFR 478.124(c)(5)

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

In ^{(b)(3) 112} out of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to conduct a new NICS check prior to transfer of the firearm. ^{(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)}

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.102(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, IO ^{(b)(6)} reviewed completion of ATF Form 4473 with the licensee and employees. IO ^{(b)(6)} explained that in any situation where the transfer occurs more than 30 days after the NICS check is completed and an initial response is received, the licensee is required to conduct a new NICS check. A computerized criminal records check of the purchaser identified no prohibitions.

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 8

Nature of Violation:

Of 444 ATF Forms 4473 reviewed for the inspection period, the licensee failed to execute and submit ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers. These multiple sales involved (b)(6) handguns.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, the licensee was directed to complete and submit ATF Form 3310.4 by 2/1/17. On 2/1/17, IOI (b)(6) verified each form was completed and submitted. During the closing conference with the licensee and employees, IOI (b)(6) reminded the licensee is required to submit ATF Form 3310.4 by close of business the date of the transfer when a customer purchases more than one handgun within five business days the dealer is open. IOI (b)(6) further explained that requiring the customer complete a new ATF Form 4473 for each handgun does not exempt the licensee from their obligation.

Citation: 27 CFR § 478.1204

1

Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

2/1/17

Signature and Title of ATF Officer

Date

2/1/17

For Official Use Only

May 9 2019

ATF Form 7000.3

Revised April 2009



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1801 Stanley Road, Suite 300
Greensboro, NC 27407

April 24, 2017

www.atf.gov

763050: (b)(6)
5373

WARNING LETTER

CERTIFIED RETURN RECEIPT REQUESTED

Mr. Winfred S. Winslow
W S Winslow, Inc.
1208 Sandy Cross Rd.
Belvidere, NC 27919

RE: 1-56-143-01-9M-43886

Dear Mr. Winslow:

During a recent inspection of your firearms business, covering the period January 22, 2016 to January 22, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Winfred S. Winslow
W S Winslow, Inc.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center

U.S. DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS
AND EXPLOSIVES
 Greensboro II - Industry Operations
 1801 Stanley Rd. - Suite 300
 Greensboro, NC 27407
OFFICIAL BUSINESS
PENALTY FOR PRIVATE USE \$300

CERTIFIED MAIL™



7012 3050 0002 2991 9473



Mr. Winfred S. Winslow
 W S Winslow, Inc.
 1208 Sandy Cross Rd.
 Belvidere, NC 27919

E 1363.3

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Mr. Winfred S. Winslow
 W S Winslow, Inc.
 1208 Sandy Cross Rd.
 Belvidere, NC 27919

PS Form 3800, April 2012