

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Heath, Frank Edward Heath's Firearm Service 1854 Green Haynes Rd. Kinston, NC 28504, LENOIR		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <b>763050-2017-0041-B1B</b>		
		3. PERMIT/LICENSE NUMBER <b>156107028M04984</b>	4a. TARGET DATE	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				
6. ATF OFFICER(S) ASSIGNED <b>(b)(6)</b> - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) <b>(b)(6)</b> , Area Supervisor, 10/11/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct a full-scope compliance inspection.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	3	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	11	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by <b>(b)(6)</b> - Industry Operations Investigator  Submitted on: 01/04/2017 While the Federal Firearms Administrative Action Policy and Procedures warrants a Warning Conference for Mr. Heath, IOI <b>(b)(6)</b> is recommending a Warning Letter in lieu of a Warning Conference. The determination for the Warning Conference was concluded due to a failure to timely and/or correctly record the required acquisition entries for <b>(b)(7)(E)</b> of the licensee's total acquisitions, <b>(b)(7)(E)</b> , during the inspection period. Since this is Mr. Heath's first compliance inspection since the issuance of the license, IOI <b>(b)(6)</b> believes that with the proper guidance and instruction he provided during the inspection and at the closing conference, Mr. Heath should not have the same Acquisition and Disposition (A&D) violations in the future. Also, due to Mr. Heath's low business volume during the inspection period, the statistical data reflects a high incidence rate of failing to timely and/or correctly record acquisition and disposition entries which should be taken into consideration and review.  Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		<b>(b)(6)</b>		
NOV 2016		39.00		
ATF OFFICER'S SUBTOTAL		39.00		
		ATF OFFICER'S SIGNATURE		
TOTAL HOURS		39.00		
13. REVIEW AND ROUTING				
REVIEW COMMENTS AND RECOMMENDATION Violts WL ONLY and No Recall Inspection				
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION				
SIGNATURE AND TITLE <b>(b)(6)</b> - Area Supervisor			REVIEW DATE 01/25/2017	

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### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

Concur with the assigned IOI's alternate recommendation for a warning letter in lieu of a warning conference.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

#### SIGNATURE AND TITLE

SBALBRO - Director of Industry Operations

#### REVIEW DATE

02/01/2017

#### ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE February 1, 2017