

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Henry Andrew Double A Gunsmithing 604 W. Main Avenue Taylorsville, NC 28681, ALEXANDER		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763050-2016-0120-B1B		
		3. PERMIT/LICENSE NUMBER 156003077J04287	4a. TARGET DATE 6/1/2016	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 12/01/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct a compliance inspection of this manufacturer. Ensure compliance with recordkeeping, reporting, and marking.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS		8	NO. OF REFERRALS	
NO. OF TECS CHECKS		212	NO. OF TECS HITS	2
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2111 - PER DIEM
		\$ VALUE OF TAX DECREASES		2112 - P.O.A.
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2113 - COMM. AIR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2114 - RENTAL CAR
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2115 - GPV EXPENSES
				2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 07/13/2016 Alternate recommendation of Violations, Warning Letter ONLY and No Recall in lieu of Violations, Warning Conference with Warning Letter and Recall Alternate recommendation is submitted as only one of the cited 8 violations warrants a Warning Conference. The violation in question, violation #1, was issued for the transfer of receivers to out-of-state residents in 100% instances; something which only occurred because Mr. Adams was instructing an AR-15 'build-your-own class. Mr. Adams assumed the transfers to each of the (b)(6) individuals was legal as they were all working in North Carolina and residing in rented housing. Additionally, the attentiveness and helpfulness Mr. Adams supplied IOI (b)(6) during the inspection gives hope that he has a concise, clear understanding of the findings/violations disclosed. Viols WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
DEC 2015		8.00		
APR 2016		3.00		
MAY 2016		16.50		
JUN 2016		78.00		
JUL 2016		37.00		
ATF OFFICER'S SUBTOTAL		142.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS		142.50		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Alternate recommendation ILO Warning Conference

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

08/05/2016

REVIEW COMMENTS AND RECOMMENDATION

DIO concurs with the alternate recommendation for a warning letter and no recall.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

SBALBRO - Director of Industry Operations

Digitally signed by Stephen B. Albright
DN: cn=Stephen B. Albright, o=ATF, ou=Charlotte Field
Divs on email (b)(6), c=US
Date: 2016.08.16 15:24:21 -0400

REVIEW DATE

08/16/2016

ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE 8-16-16

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Henry Andrew Adams dba Double A Gunsmithing	Street Address 604 W. Main Avenue	City Taylorsville	State North Carolina	ZIP Code 28681	County Alexander	Page 1 of 5 Pages
License/Permit/Registry Number (if any) 1 56 003 07 71 04287		Expiration Date September 1, 2017	Date(s) or Period of Inspection January 1, 2015 through May 1, 2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.99(a)	Failure of licensee in ^{(b)(3)} instances to ensure the transfer of firearm receivers were only made to purchasers who could document that they were residents of the State of North Carolina (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised during the inspection that firearm receivers cannot be purchased by anyone who he has knowledge or reason to believe is not a resident of the State of North Carolina	Immediately
2.	27 CFR 478.124(c)(1)	Failure of licensee in ^{(b)(3)} instances (out of 252 forms reviewed) to ensure the accuracy and/or completeness of ATF F 4473 prior to the transfer of a firearm (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring each customer specifies whether or not they are a non-immigrant alien; records their state of residence and country of citizenship, respectively	Immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer
(b)(6) *TOI*

Date
7-6-16
Date
7/6/16

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
3.	27 CFR 478.124(c)(3)(i)	Failure of licensee in ^{(b)(3)} instances (^{(b)(6)} out of 252 forms reviewed) to ensure the accuracy and/or completeness of ATF F 4473 prior to the transfer of a firearm. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring each customer's identification documentation is recorded completely and accurately.	Immediately
4.	27 CFR 478.124(c)(3)(iv)	Failure of licensee in ^{(b)(3)} instances (^{(b)(6)} out of 252 forms reviewed) to ensure the accuracy and/or completeness of ATF F 4473 prior to the transfer of a firearm. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring that the initial contact date and NICS transaction number are always recorded as specified.	Immediately

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
5.	27 CFR 478.124(c)(5)	Failure of licensee in ^{(b)(3)} instance ^{(b)(3)} (out of 15 forms reviewed) to verify the accuracy and/or completeness of ATF F 4473 prior to the transfer of a firearm. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring that items 34 & 36 are answered to reflect the signature of the person conducting the sale as well as the date of sale	Immediately
6.	27 CFR 478.125(e)	Failure of licensee in ^{(b)(3)} instance to timely record the disposition of a firearm in the A&D record as required by regulation. (b)(3) 112 Public Law 55 125 STAT 552 *this violation continued on next page....	Mr. Adams was advised both during the inspection and the inspection closing conference that the sale or other disposition of a firearm shall be recorded not later than 7 days following the date of transaction. He was further advised that when such disposition is made to a non-licensee, the completed ATF F 4473 shall be retained separate from completed & filed forms until the transaction is recorded in the A&D record	The A&D record disposition entry for the Core Rifle was recorded by Mr. Adams on 6/8/16.

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6 con't		(b)(3) 112 Public Law 55 125 STAT 552	On 6/21/2016, Mr. Adams executed the electronic version of ATF F 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report to report the FIE and Davis Industries pistols as 'missing from inventory'. The report was subsequently forwarded by email to the ATF Stolen Firearm Program Manager: (stolenfirearms@atf.gov)	6/21/2016
7.	27 CFR 478.126a	Failure of licensee in ^{(b)(3)} instance to execute ATF F 3310.4. Report of Multiple Sale or Other Disposition of Pistols or Revolvers to timely report the sale of 2 handguns to one individual within 5 business days are required by regulation (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	On 6/7/16, Mr. Adams executed ATF F 3310.4 to report the sale of ^{(b)(3) 112} handguns to customer (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	6/7/16

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
8.	27 CFR 478.21(a)	Failure of licensee in ^{(b)(3)} instances (^{(b)(3)} out of 252 forms reviewed) to ensure the accuracy and/or completeness of ATF F 4473 prior to the transfer of a firearm. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring that items Item 18 is answered to reflect the type of firearm and should match the type of firearm reflected in Section D, Item 29; Items 30a, 31, 32, 33 and 35 must be answered to reflect the total number of firearms being transferred, the licensee name, licensee address, the FFL number, the transferor name and title	Immediately



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1801 Stanley Road, Suite 300
Greensboro, NC 27407

August 17, 2016

www.atf.gov

763050 (b)(6)
5373

WARNING LETTER

CERTIFIED RETURN RECEIPT REQUESTED

Mr. Henry Andrew Adams
Double A Gunsmithing
604 W. Main Ave.
Taylorsville, NC 28681

RE: 1-56-003-07-71-04287

Dear Mr. Adams:

During a recent inspection of your firearms business, covering the period January 1, 2015 through May 1, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Henry Andrew Adams
Double A Gunsmithing

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center