### **Assignment and Report**

OPERATING NAME AND ADDRESS     (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CC 763050	DDE, ASSIGNMENT N D-2016-0120-B1B	IO., P.P.C.)				
Henry Andrew Double A Gunsmithing 604 W. Main Avenue Taylorsville,NC 28681, ALEXANDER	3. PERMIT/LICENSE NUMBER						
	5. REQUESTED BY (SIGNATUR	E, TITLE AND DATE)					

6. ATF OFFICER(S) ASSIGNED

(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)

(b)(6) , Area Supervisor, 12/01/2015

#### 8. PURPOSE/SPECIAL INSTRUCTIONS

Conduct a compliance inspection of this manufacturer. Ensure compliance with recordkeeping, reporting, and marking.

INSPECTION RESULTS	CHE	ECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	8	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	212	NO. OF TECS HITS	2	2112 - P.O.A.
NO. OF TAX		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
ADJUSTMENTS		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

#### 11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 07/13/2016

Alternate recommendation of Violations, Warning Letter ONLY and No Recall in lieu of Violations, Warning Conference with Warning Letter and Recall

Alternate recommendation is submitted as only one of the cited 8 violations warrants a Warning Conference. The violation in question, violation #1, was issued for the transfer of receivers to out-of-state residents in instances; something which only occurred because Mr. Adams was instructing an AR-15 'build-your-own class. Mr. Adams assumed the transfers to each of the limit individuals was legal as they were all working in North Carolina and residing in rented housing. Additionally, the attentiveness and helpfulness Mr. Adams supplied IOI (b)(6) during the inspection gives hope that he has a concise, clear understanding of the findings/violations disclosed.

Viols WL ONLY and No Recall Inspection

			12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (	MONTH, YEA	R, HOURS) (	0)(6)	
DEC	2015	8.00		
APR	2016	3.00		
MAY	2016	16.50		
JUN	2016	78.00		
JUL	2016	37.00		
ATF OFFICER'S SUBTOT	AL	142.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS		142.50		

### U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

# **Assignment and Report**

OPERATING NAME AND ADDRESS     (INCLUDE ZIP CODE AND COUNTY)		2. U.I. NUMBER (OF	763050	DE, ASSIGNMENT N -2016-0120-B1B					
Henry Andrew Double A Gunsmithing			3. PERMIT/LICENSE NUMBER						
604 W. Main Avenue Taylorsville,NC 28681, ALEXANDER			5. REQUESTED BY (SIGNATURE, TITLE AND DATE)						
	13. REVIEV	V AND ROUTING							
REVIEW COMMENTS AND RECOMMENDATION									
Alternate recommendation ILO Warning Confer	rence								
Viols WL ONLY and No Recall Inspection									
REVIEWED CO SIGNATURE AND TITLE (b)(6) - Area Supervisor	NCUR D	SEE COMMENTS	FINAL D	DISPOSITION DATE 08/05/201	6				
REVIEW COMMENTS AND RECOMMENDATION DIO concurs with the alternate recommendation Viols WL ONLY and No Recall Inspection	n for a warning lett	ter and no recall.	•						
⊠ REVIEWED ⊠ CO	NCUR [	SEE COMMENTS	⊠ FINAL [	DISPOSITION					
SIGNATURE AND TITLE SBALBRO - Director of Industry Operations	TOBIN .	Digitally signed by Stephen B Albro DRECH-Stephen B Albro o-ATE ou-Charlotte Reid Division email (2)(2) Crate 2016 GB (0.3152/KS): UAUU	REVIEW D	08/16/201	6				
ROUTING SEQUENCE AND DATE  1FFLC  2		— CONTROL	FILE POSTE	D DATE 8-16-16					

			* 750	In	structions		10.41.040				3 5555	
	he firmly with a ball point			rs will prepare th	ris form in triplicate.				tor or a re	sponsible rep	resentative.	
	ining copies will be submit Proprietor	Street Address	Trans.	upervisors will d		the completed to	ZIP Code	S.  County		Page (	of	
Henry Ar	ndrew Adams sle A Gunsmithing	604 W. Main Avenue		aylorsville		North Carolina	28681	Alexan	der	5	Pages	
icense/I	Permit/Registry Number (if	any)	Expiration Date	2	Date(s) or Period of	Inspection	<u> </u>					
56 003	07 71 04287		September 1, 20	017	January 1, 2015 thr	ough May 1, 2016	i					
		- N			ction Results	L75* 157* 2						
n eyami	nation of your premises, re	cords and operations has d	isclosed the follo	wing violations	which have been exp		tion disastant as des	Policion	Poss	F	a ba Mada	
Vaniber	USC or CFR Citation Nature of Violation						Corrective Action to be Taken (If not corrected immediately)					
	27 CFR 478.99(a) 27 CFR 478.124(c)(1)	Failure of licensee in were only made to pure the State of North Carol (b)(3) 112 Pul	hasers who could time  Olic Law 5: Instances ( put o	of 252 forms revie	ewed) to ensure the transfer of a firearm	Mr. Adams wa inspection and conference that completed according to end on specifies wheth	s advised during firearm receivers myone who he has reason to believe State of North Countries and the inspection eleterately AND countries was further addrever steps deem issue each form is completeness pricearm. Specific ensuring each current rout they are alien; records the	ring the osing st be pletely in vised to ed comphasis stomer:		Date Corrections to be Made Aff not corrected immediately, mediately		
Have Ro	eceived a Copy of This Rep	on of Viglations (Propries		Proprie	etor	respectively				Date 7 - 0	6-11	
ignature	and Title of ATF Officer	(b)(6)		OI						Date -	14/16	

					2000 20	(1) THE STATE OF T					
Dia	de Carrie ratio - teath - ter	arm suftran sommetricles state	Comm. ATT.	and the same of th	Instructions	The actions	or will be size	a the second	los as a sec		rarantative.
	rite firmly with a ball point paint point point print								or or a res	houzinic tch	resentative,
The second secon	Proprietor	Street Address		City		State	ZIP Code	County		Page 2	of
	ndrew Adams ble A Gunsmithing	604 W. Main Avenue	Taytorsville		=	North Carolina	28681	Alexand	ler	5	Pages
	Permit/Registry Number (if o	um)	Expiration l September		Date(s) or Period of January 1, 2015 the	in the Contract of the Arthur	,			<u></u>	
				Ins	pection Results						
An exam	ination of your premises, re-	cords and operations has d	liselosed the f	ollowing violation	s which have been exp	lained to you:					
Number	USC or CFR Citation		Nature	of Violation			ive Action to be arrected immed		C1.47.54.04.44.41.4	Corrections t	
3.	Failure of licensee in instances (a put of 252 for accuracy and/or completeness of ATF F 4473 prior (b)(3) 112 Public Law 55 125		F F 4473 prior to th	e transfer of a firearm	inspection and conference that completed accumulations. He implement white ensure each & completeness firearm. Speciensuring each a	s advised both de the inspection of the ATF F 4473 manately AND con- was further advis- tioner steps deen form is reviewed a prior to transfe- fic emphasis was customer's identi- is recorded com-	osing ust be upletely in all ed to led necessary for accuracy rring a placed on fleation	Immediat			
4.	27 CFR 478.124(c)(3)(iv)	Failure of licensee in accuracy and/or comple  (b)(3) 112 Pul	teness of ATI	F F 4473 prior to th	e transfer of a firearm	inspection and conference that completed accumustances. He implement who to ensure each & completenes firearm. Specificarum, that the	s advised both di the inspection el ATF F 4473 rau trately AND con vas further advis tever steps deen form is reviewed s prior to transfe fic emphasis was to initial contact on number are al- terified	osing ist be ipletely in all ed to ied necessary for accuracy ming a placed on date and	Immediat	ely	

				1	nstructions				The second	
Please w	rite firmly with a ball point	pen when completing this	form. ATF of	Total Tribile	TOTAL TO STATE AND TAKEN THE PARTY OF THE PARTY.	The original cor	y will be given t	o the proprie	or or a re	sponsible representative.
The rem	aining copies will be submit	ied with the completed ins	spection report	Supervisors will	detach one copy from	the completed re	port for their file	s.		,
	Proprietor	Street Address		City Sta			ZIP Code	County		Page 3 of
	indrew Adams ible A Gunsmithing	604 W. Main Avenue		Taylorsville No		North Carolina	28681	Alexan	ıder	5 Pages
License	Permit/Registry Number (if	any)	Expiration D	Date	Date(s) or Period of	Inspection				•
1 56 003	8 07 7J 04287		September	1, 2017	January 1, 2015 thr	ough May 1, 2016	5			
			1	lnsp	ection Results					
Ая ехао	nation of your premises, re	cords and operations has a	lisclosed the fe	ollowing violations	which have been exp	lained to you;				
Number	FORES (FORE)					19116 21 31 31	ive Action to be corrected immedi	B 1000 100 100	the man	Corrections to be Made teorrected immediately)
5.	27.CFR 478.124(c)(5)	Failure of licensee in instance out of 15 forms accuracy and/or completeness of ATF F 4473 prior (b)(3) 112 Public Law 55 125			e transfer of a firearm.	inspection and conference that completed accurate who necessary to enfor accuracy & transferring a fives placed on are answered to	Mr. Adams was advised both during the inspection and the inspection closing conference that ATF F 4473 must be completed accurately AND completely in all instances. He was further advised to implement whatever steps deemed necessary to ensure each form is reviewed for accuracy & completeness prior to transferring a firearm. Specific emphasis was placed on ensuring that items 34 & 36 are answered to reflect the signature of the person conducting the sale as well as the date of sale			alcly
6.	27 CFR 478.125(e)	Failure of licensee in the A&D record as required (b)(3) 112 P	uired by regula	tion.	Committee in the control of the cont	inspection and conference that of a firearm shall a days following was further addisposition is no completed ATI separate from conference.	s advised both do the inspection clu t the sale or other all be recorded na- ng the date of tran- vised that when so nade to a non-lice F F 4473 shall be completed & filed is recorded in the	osing disposition of later than esaction. He esach ensee, the retained	for the C Mr. Ada	D record disposition entry fore Rifle was recorded by ms on 6/8/16.
		Tihis violat	ion continued (	on next page						

	Proprietor	Street Address		City			ZIP Code	County		Page 4	of
	ndrew Adams ble A Gunsmithing	604 W. Main Avenue		Taylorsville		North Carolina	28681	Alexano	der	5	Pages
	Permit/Registry Number (if n7 7J 04287	(any)	Expiration D. September 1.		Date(s) or Period of January 1, 2015 th	And the second	5				
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in exam	ination of your premises, re	cords and operations has a	liselosed the fol	lowing violation	s which have been ex	to the second control of the second					
Number	r USC or CFR Citation Nature of Viol			Violation		75777777	ive Action to be arrected immedi	4.444,444)	100000000000000000000000000000000000000	Corrections (	and the said of the said of the said
	Failure of licensee in instance to Sale or Other Disposition of Pistols handguns to one individual within (b)(3) 112 Public Lay					FIE and Davis from inventory subsequently for Stolen Firearm (stolenfirearm) The A&D reco firearms were to Incident Numb	rd disposition en apdated to reflect or (F2016000135 ission prior to the	as missing it to the ATF er: tries for both the ATF ii) and date			
7.			on of Pistols or dual within 5 bu	Revolvers to time siness days are re	ly report the sale of 2 equired by regulation	customer (b)(3) 1	Adams executed 1 the sale of 12 Public Law 55 125 ST	ATF F handeuns to AT 552 (b)(6)	6/7/16		

Henry A	Proprietor ndrety Adams old A Gunsmithing	Street Address  604 W. Main Avenue				State North Carolina	ZIP Code 28681	County	der	Page 5	of Pages
	ermit/Registry Number (if	Name of the State	Expiration D	Date	Date(s) or Period o	Inspection		J			
56 003	07 7J 04287		September 1	1, 2017	January 1, 2015 th	ough May 1, 201	5				
	-		1	- ti	spection Results					****	
exami	nation of your premises, re-	cords and operations has o	disclosed the fo	ollowing violate	ons which have been exp	- N. C.					
umber	USC or CFR Citation		Nature of Violation			1 M.	ive Action to be " convered impacts		16-2524453	Corrections reorrected to	THE CONTRACTOR OF THE PROPERTY
	27 CFR 478.21(a)		eteness of ATF	F 4473 prior to	ns reviewed) to ensure the transfer of a firearm	inspection and conference that completed ace all instances, implement who necessary to er for accuracy & transferring a factor was placed on answered to reshould match to Section D, fiter and 35 must be number of fire licensee name,	is advised both duthe inspection cleated the inspection cleated the target of the was further adatever steps deem is a completeness priferarm. Specific ensuring that item 129; Items 30a, 3 answered to reflect the type of firearm 29; Items 30a, 3 answered to reflect the type of firearm and the	sing st be pletely in vised to ed reviewed or to emphasis is kern 18 is rearm and reflected in 1, 32, 33 ect the total erred, the the FFL	Immedia	nery	



### U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives 1801 Stanley Road, Suite 300 Greensboro, NC 27407

August 17, 2016

www.atf.gov

763050(b)(6) 5373

## **WARNING LETTER**

## **CERTIFIED RETURN RECEIPT REQUESTED**

Mr. Henry Andrew Adams Double A Gunsmithing 604 W. Main Ave. Taylorsville, NC 28681

RE: 1-56-003-07-7J-04287

Dear Mr. Adams:

During a recent inspection of your firearms business, covering the period January 1, 2015 through May 1, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Henry Andrew Adams Double A Gunsmithing

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor

**Enclosure** 

c: Federal Firearms Licensing Center