

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) DICKS SPORTING GOODS INC DICKS SPORTING GOODS INC. #691 415 COX ROAD GASTONIA, NC 28054, GASTON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763025-2017-0028-B1B		
	3. PERMIT/LICENSE NUMBER 156071019L05663	4a. TARGET DATE 12/13/2016	4b. TARGET HOURS 80
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) - Lead Investigator
(b) (6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, 10/13/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
CONDUCT FULL DE/COMPLIANCE INVESTIGATION

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	3	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	7	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b) (6) Industry Operations Investigator
Submitted on: 01/25/2017

Viols WC with WL and Recall

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b) (6)	
NOV 2016	26.00		
JAN 2017	20.00		
ATF OFFICER'S SUBTOTAL	46.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b) (6)	
NOV 2016	25.00		
DEC 2016	3.00		
ATF OFFICER'S SUBTOTAL	28.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	74.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
A/S recommends WL and No Recall as an alternative to the IOI's recommendation of WC and Recall.
Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b) (6) - Area Supervisor		REVIEW DATE 01/25/2017	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) DICKS SPORTING GOODS INC DICKS SPORTING GOODS INC. #691 415 COX ROAD GASTONIA, NC 28054, GASTON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763025-2017-0028-B1B		
	3. PERMIT/LICENSE NUMBER 156071019L05663	4a. TARGET DATE 12/13/2016	4b. TARGET HOURS 80
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Due to the nature of the violations a warning letter is recommended by the DIO. Please prepare the warning letter for the DIO's signature.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

SBALBRO - Director of Industry Operations

REVIEW DATE

02/09/2017

ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC
☐ 2.
☐ 3.
☐ 4.

CONTROL FILE POSTED DATE Feb 9, 2017

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page 1 of 2
Dick's Sporting Goods, Inc. # 691	415 Cox Road	Gastonia	NC	28054	Gaston	2 Pages
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
1-56-071-01-9L-05663		November 01, 2019	November 6, 2015 - November 7, 2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.21(a)	In (b)(3) - P instances, the licensee failed to ensure that two individuals not directly involved with the firearm transaction signed the ATF Form 4473 as a witnesses to the buyer's answers and signature when the buyer was unable to read and/or write (b)(3) - Public Law 112-55 (125 Stat. 552), (b)(6) (b)(3) - Public Law 112-55	During the inspection, remedial training was given to the licensee and/or employees regarding the proper completion of ATF Form 4473.	
2.	27 CFR 478.131(a)(2)	In (b)(3) - P instance, the licensee failed to record the buyer's North Carolina Concealed Carry Permit number on the ATF Form 4473, which qualifies as an exemption to NICS (b)(3) - Public Law 112-55 (125 Stat. 552), (b)(6)	During the inspection, remedial training was given to the licensee and/or employees regarding the proper completion of ATF Form 4473.	

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

(b)(6)

Hardlines Manager

LOS

Date 11/16/2016
Date 11-16-2016

Copy 2 - Inspection Report Copy

ATF E-Form 5030.5
Revised April 2005

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>2</u> of <u>2</u> Pages
Dick's Sporting Goods, Inc. # 691	415 Cox Road	Gastonia	NC	28054	Gaston	
License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection				
1-56-071-01-9L-05663	November 01, 2019	November 6, 2015 - November 7, 2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
3	27 CFR 478.102	In ^{(b) (3) - PUBLIC} instance, the licensee accepted a permit that was not recognize as a valid alternative to the NICS check requirements ^{(b) (3) - PUBLIC LAW 112-55 (42 USC 6862) (b) (3) - PUBLIC}	During the inspection, remedial training was given to the licensee and/or employees regarding acceptable alternative to NICS check.	



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
6701 Carmel Road, Suite 200
Charlotte, North Carolina 28226

February 10, 2017

www.atf.gov

763025: (b) (6)
5300

WARNING LETTER

CERTIFIED RETURN RECEIPT REQUESTED

Dick's Sporting Goods Inc.
Dick's Sporting Goods Inc. #691
Mr. Jeffrey J. Lak
415 Cox Road
Gastonia, NC 28054

RE: 1-56-071-01-9L-05663

Dear Mr. Lak:

During a recent compliance inspection at your firearms business covering the period of November 6, 2015 to November 6, 2016; you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b) (6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that

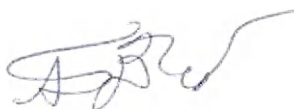
Dick's Sporting Goods Inc.
RE: 1-56-071-01-9L-05663

criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b) (6) at (b) (6)

Sincerely,

A handwritten signature in blue ink, appearing to read 'S. Albro', with a long horizontal flourish extending to the right.

Stephen B. Albro
Director, Industry Operations
Charlotte Field Division

Enclosure

cc: Federal Firearms Licensing Center