

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) JACKSON, BENNIE WAYNE HERITAGE ARMS AND FINDINGS 5040 OVERCREST DR KANNAPOLIS, NC 28081, CABARRUS		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763025-2016-0329-B1B		
		3. PERMIT/LICENSE NUMBER 156025019F08606	4a. TARGET DATE 8/9/2016	4b. TARGET HOURS 40
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 06/09/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS CONDUCT FULL DE/COMP INVESTIGATION				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	1	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS		NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 10/04/2016  Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)		
AUG 2016		3.00		
SEP 2016		28.50		
ATF OFFICER'S SUBTOTAL	31.50	ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)		
SEP 2016		20.50		
ATF OFFICER'S SUBTOTAL	20.50	ATF OFFICER'S SIGNATURE		
TOTAL HOURS	52.00			
13. REVIEW AND ROUTING				
REVIEW COMMENTS AND RECOMMENDATION PII Folder Created  Violts WL ONLY and No Recall Inspection				
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION				
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 10/05/2016		
		Digitally signed by (b)(6) Date: 2016.10.05 14:42:00 -04'00'		

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ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JACKSON, BENNIE WAYNE HERITAGE ARMS AND FINDINGS	Street Address 5040 OVERCREST DR	City KANNAPOLIS	State NC	Zip Code 28081-	County CABARRUS	Page 1 of 1 Pages
License/Permit/Registry Number (If any) 156025019F08606		Expiration Date 6/1/2019	Date(s) or Period of Inspection 09/19/2016 through 09/21/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to enter the disposition of a firearm on (b)(3) 112 Public occasions in their A&D book and is in violation of 27 CFR 478.125(e) which states: The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than 7 days following the date of such transaction.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: All firearms were disposed of in the A&D book

(If not corrected immediately) immediately.

Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date  
9-21-16

Signature and Title of ATF Officer

(b)(6)

Industry Operations Investigator (IOI)

Date





**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
6701 Carmel Road, Suite 200  
Charlotte, North Carolina 28226

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[www.atf.gov](http://www.atf.gov)

September 30, 2016

763025 (b)(6)  
5300

**WARNING LETTER**

**CERTIFIED RETURN RECEIPT REQUESTED**

Jackson, Bennie Wayne  
Heritage Arms and Findings  
5040 Overcrest Drive  
Kannapolis, NC 28081

RE: FFL# 1-56-025-01-9F-08606

Dear Mr. Jackson:

During a recent compliance inspection at your firearms business covering the period of September 19, 2015 to September 19, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that

Jackson, Bennie Wayne  
FFL# 1-56-025-01-9F-08606

criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6).

Sincerely,

(b)(6)

Area Supervisor, Charlotte Group III  
Charlotte Field Division

Enclosure  
cc: Federal Firearms Licensing Center