

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) PAWN SOUTH INC 802 E. FIFTH ST TABOR CITY, NC 28463, COLUMBUS	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763025-2016-0045-B1B		
	3. PERMIT/LICENSE NUMBER 156047026G03615	4a. TARGET DATE 12/30/2015	4b. TARGET HOURS 40
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 10/30/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
CONDUCT FULL PAWNBROKER/DE COMPLIANCE INVESTIGATION

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	5	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	25	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) Industry Operations Investigator
Submitted on: 03/10/2016

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
NOV 2015	2.00		
FEB 2016	35.00		
MAR 2016	4.00		
ATF OFFICER'S SUBTOTAL	41.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	41.00		

13. REVIEW AND ROUTING
REVIEW COMMENTS AND RECOMMENDATION
PII Folder Created
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION
SIGNATURE AND TITLE
(b)(6) - Area Supervisor (b)(6) REVIEW DATE
03/15/2016

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ROUTING SEQUENCE AND DATE

- ☒ 1. FFLC _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor PAWN SOUTH INC	Street Address 802 E. FIFTH ST	City TABOR CITY	State NC	Zip Code 28463-	County COLUMBUS	Page 1 of 2 Pages
License/Permit/Registry Number (If any) 156047026G03615		Expiration Date 7/1/2016	Date(s) or Period of Inspection 02/17/2016 through 02/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

In (b)(3) - 112 Public Law 55 instance, the Transferee indicated they were prohibited by answering question 11k "Yes" on the ATF F 4473 (b)(3) - 112 Public Law 55).

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The Licensee was advised to never transfer a firearm to a person who indicates they are prohibited.
(If not corrected immediately)

Number: 2

Nature of Violation:

In (b)(3) - 112 Public Law 55 instances, the Transferee failed to indicate a response to question 11g on the ATF F 4473 (b)(3) - 112 Public Law 55. In (b)(3) - 112 Public Law 55 instance, the Transferee failed to indicate a response to question 11j (b)(3) - 112 Public Law 55. In (b)(3) - 112 Public Law 55 instance, the Transferee failed to indicate a response to question 11k (b)(3) - 112 Public Law 55. In (b)(3) - 112 Public Law 55 instance, the Transferee failed to date item 17 (b)(3) - 112 Public Law 55 125.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The Licensee was advised to make sure the Transferee answers all questions and signs item 16 and dates item 17.
(If not corrected immediately)

Number: 3

Nature of Violation:

In (b)(3) - 112 Public Law 55 instances, the Licensee accepted and recorded an expired driver's license in item 20a on the ATF F 4473 (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)). In (b)(3) - 112 Public Law 55 instances, the Licensee failed to record the Transferee's identification document in item 20a (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)).

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The Licensee was advised to make sure the Transferee's identification document is not expired and to always record the information.
(If not corrected immediately)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number <i>(If any)</i> 156047026G03615		Expiration Date 7/1/2016	Date(s) or Period of Inspection 02/17/2016 through 02/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation:

27 CFR 478.124(c)(3)(i)

Number:

4

Nature of Violation:

In **[REDACTED]** instance, the Transferee failed to sign item 24 and date item 25, when the firearm transfer took place on a later date from the original certification (Russ 346).

Citation:

27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The Licensee was advised to make sure the Transferee signs and dates items 24 and 25 when the transfer of a firearm takes place on a later date from the original certification.

Citation:

27 CFR 478.126a

Number:

5

Nature of Violation:

In **[REDACTED]** instance, the Licensee failed to report the sale of two (2) or more handguns purchased within five (5) business days on ATF F 3310.4, Report of Multiple Handgun Sale **[REDACTED]**

Citation:

27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The Licensee was advised to complete ATF F 3310.4, Report of Multiple Handgun Sale whenever a Transferee purchases two (2) or more handguns within five (5) business days.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

For Official Use Only

November 1 2019 Production

1385

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
6701 Carmel Road, Suite 200
Charlotte, North Carolina 28226

www.atf.gov

March 15, 2016

763025 (b)(6)
5300

WARNING LETTER

CERTIFIED RETURN RECEIPT REQUESTED

Kenneth Barnes
Robert Powell
Pawn South, Inc.
802 E. Fifth St.
Tabor City, NC 28463

Re: FFL# 1-56-047-02-6G-03615

Dear Mr. Powell and Mr. Barnes:

During a recent compliance inspection at your firearms business covering the period of February 17, 2015 to February 17, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Pawn South, Inc.

FFL# 1-56-047-02-6G-03615

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor, Charlotte Group III
Charlotte Field Division

Cc: Enclosure
Federal Firearms Licensing Center