

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Baker's Hardware Co Inc Baker's Ace Hardware 28547 Dupont Blvd Millsboro, DE 19966, Sussex	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 761025-2017-0265-B1B		
	3. PERMIT/LICENSE NUMBER 851005019E00672	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS 30
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6) Area Supervisor, 04/10/2017

8. PURPOSE/SPECIAL INSTRUCTIONS  
Conduct compliance inspection. FFL indicated noncompliance at seminar.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - IO Investigator  
Submitted on: 05/23/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
APR 2017	9.50		
MAY 2017	17.00		
ATF OFFICER'S SUBTOTAL	26.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	26.50		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
Warning Letter sent 24 May 2017, Certified Return Receipt signed 26 May 2017.  
Viols WL ONLY and No Recall Inspection

☒ REVIEWED    ☒ CONCUR    ☒ SEE COMMENTS    ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	(b)(6)	(b)(6)	REVIEW DATE 05/24/2017
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ROUTING SEQUENCE AND DATE

☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor <b>Baker's Hardware Co, Inc.</b>	Street Address <b>28547 Dupont Blvd</b>	City <b>Millsboro</b>	State <b>DE</b>	ZIP Code <b>19966</b>	County <b>Sussex</b>	Page <b>1</b> of <b>1</b> Pages
License/Permit/Registry Number (if any) <b>8-51-005-01-9E-00672</b>		Expiration Date <b>May 1, 2019</b>	Date(s) or Period of Inspection <b>4/27/2016 through 4/27/2017</b>			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.124(c)(1)	On (b)(3) 112 Public occasions, the licensee failed to ensure proper completion of Section A of the Firearms Transaction Record, ATF Form 4473, specifically, the licensee failed to ensure proper completion of Item 11L (2012 Edition) and Item 12.d.1. (2016 Edition). See the following ATF Forms 4473: <b>(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)</b>	The licensee will ensure proper completion of the ATF F 4473, Section A, for all future firearms transfers, specifically the licensee will ensure the purchaser indicates a proper response for all responses required under Items 11 and 12 on the ATF F 4473.	5/4/2017 / IMMEDIATELY
2	27 CFR 478.126a	On (b)(3) 112 Public occasions, the licensee failed to report the multiple sale or other disposition of (two or more) pistols or revolvers to an unlicensed person on ATF Form 3310.4 (Multiple Sale or Other Disposition of Pistols and Revolvers), and failed to prepare and submit such report no later than the close of business on the day the multiple sale or other disposition occurred. See the following ATF Forms 4473: <b>(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)</b>	The licensee prepared and submitted ATF Forms 3310.4 (Multiple Sale or Other Disposition of Pistols and Revolvers) for the (b)(3) 112 Public cited unreported multiple sales (prior to the closing of the inspection).  The licensee will prepare and submit ATF Forms 3310.4 no later than the close of business on the day the multiple sale or other disposition occurs, for all future firearms transfers requiring such reporting.	5/4/2017 / IMMEDIATELY

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

June 10 2019 Document

(b)(6)

(b)(6)

2901

Date  
5/4/2017

Date  
5/4/2017





**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

31 Hopkins Plaza, Fifth Floor  
Baltimore, MD 21201

May 24, 2017

[www.atf.gov](http://www.atf.gov)

761025: (b)(6)  
5373

**WARNING LETTER**

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

Mr. Robert Ricker  
Baker's Hardware Co., Inc.  
Baker's Ace Hardware  
28547 Dupont Blvd  
Millsboro, DE 19966

Reference: FFL # 8-51-005-01-9E-00672

Dear Mr. Ricker:

During a recent compliance inspection at your firearms business covering the period April 27, 2016 through April 27, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) (b)(6). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that

Mr. Robert Ricker  
 Baker's Hardware Co., Inc.  
 8-51-005-01-9E-00672

criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Please ensure that future compliance is achieved. Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor, a (b)(6).

Sincerely,

(b)(6)

Area Supervisor  
 Baltimore Field Office

Enclosure

c: Federal Firearms Licensing Center  
 Baltimore V Field Office

June 10 2019 Document Production

U.S. Postal Service™	
<b>CERTIFIED MAIL™ RECEIPT</b>	
(Domestic Mail Only; No Insurance Coverage Provided)	
For delivery information visit our website at <a href="http://www.usps.com">www.usps.com</a>	
<b>OFFICIAL USE</b>	
Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$
Postmark Here	
Sent to <u>Robert Ricker</u>	
Street, Apt. No. #31	
or PO Box No.	
City, State, ZIP+4	