

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Cochran Coin & Gun Shop Inc 117 N Second St Cochran, GA 31014, Bleckley	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 760555-2016-0305-B1B		
	3. PERMIT/LICENSE NUMBER 158023029G39410	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 07/06/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct compliance inspection in accordance with current guidelines.
*** Please check for possible hidden ownership.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	40	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 08/17/2016
Viols WL ONLY and No Recall Inspection

Under normal circumstances failure to timely and/or correctly record the required acquisition and disposition entries (b)(7)(E) or more of the licensee's total acquisitions/dispositions, with a minimum of (b)(7)(E) instances, during the inspection period would rise to the administrative action of Warning Conference. In addition, a licensee that has previously been the subject of a warning conference, and the current inspection warrants administrative action for repeated similar violation(s) would also be subject to a subsequent Warning Conference; however, the DIO may decide that a warning letter may be appropriate based on significance and number of violations, significance of any improvement since previous inspection, compliance history, time elapsed since previous administrative action, and other relevant factors.

An alternate recommendation of Viols WL ONLY and No Recall Inspection is being made based upon the following mitigating factors:

- ¿ The licensee is (b)(6) and is the sole officer and sole employee for the business.
- ¿ The licensee is a rural low-volume pawnbroker servicing the local community.
- ¿ The time elapsed since the previous administrative action is approximately 18 years.
- ¿ The licensee has minimum trace requests and/or multiple handgun sales transactions.

Due to the licensee's age and the local economy the licensee's future business plan is to discontinue all retail sales and to concentrate on firearm pawns only.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JUL 2016		41.00	
AUG 2016		64.00	

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12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
SEP 2016	4.00
ATF OFFICER'S SUBTOTAL	109.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
JUL 2016	20.00
AUG 2016	8.00
ATF OFFICER'S SUBTOTAL	28.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	137.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

Under normal circumstances failure to timely and/or correctly record the required acquisition and disposition entries (b)(7)(E) or more of the licensee's total acquisitions/dispositions, with a minimum of 10 instances, during the inspection period would rise to the administrative action of Warning Conference. In addition, a licensee that has previously been the subject of a warning conference, and the current inspection warrants administrative action for repeated similar violation(s) would also be subject to a subsequent Warning Conference; however, the DIO may decide that a warning letter may be appropriate based on significance and number of violations, significance of any improvement since previous inspection, compliance history, time elapsed since previous administrative action, and other relevant factors.

An alternate recommendation of Viols WL ONLY and No Recall Inspection is being made based upon the following mitigating factors:

The licensee is (b)(6) and is the sole officer and sole employee for the business.
The licensee is a rural low-volume pawnbroker servicing the local community.
The time elapsed since the previous administrative action is approximately 18 years.
The licensee has minimum trace requests and/or multiple handgun sales transactions.

Due to the licensee's age and the local economy the licensee's future business plan is to discontinue all retail sales and to concentrate on firearm pawns only.

The inspection report and the violations cited for the compliance inspection were reviewed. I concur with the recommendation of the IOI for a WL and No Recall ILO a WC and Recall based on the information provided by the IOI. The FFL has not had any administrative actions in approximately 18 years.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 09/30/2016
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REVIEW COMMENTS AND RECOMMENDATION

Concur with recommendation of a warning letter ILO warning conference for the violations warranting an administrative action involving of 27 CFR 478.102(a)(1) and 27 CFR 478.125(e).

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE DDMITCHEL2 - Director, Industry Operations	REVIEW DATE 09/30/2016
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Cochran Coin & Gun Shop Inc	Street Address 117 N Second St	City Cochran	State GA	Zip Code 31014-	County Bleckley	Page 1 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 158023029G39410		Expiration Date 7/1/2019		Date(s) or Period of Inspection 07/19/2015 through 07/19/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to maintain an accurate Acquisition and Disposition (A&D) record, in violation of 27 CFR 478.125(e):

- ¿ In more than ten (10) percent or [REDACTED] instances the licensee failed to correctly format the acquisition entries. The licensee recorded the firearms "action" (semi,-auto, lever, pump, bolt, etc.) in lieu of recording the firearms "type" (rifle, shotgun, pistol, frame, and receiver). The licensee did correctly identify all revolvers within his acquisition "type" entries.
- ¿ In [REDACTED] instances, the acquisitions of firearms found in physical inventory were not timely recorded in the A&D record.
- ¿ In [REDACTED] instances, the dispositions of firearms documented as "open entries" within the A&D, but not in inventory, were not timely recorded in the A&D record.
- ¿ In [REDACTED] instances, duplicate entries were discovered within the required records.
- ¿ The disposition of [REDACTED] firearms could not be reconciled through the required records and were reported as missing inventory via ATF Form 3310.11, ATF Issued Incident Number: [REDACTED]

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will discontinue the practice of recording the firearm's "action" in lieu of recording the firearm's "type" within the acquisition entries. The licensee will immediately add the [REDACTED] reconciled untimely acquisition firearms to his A&D record on page 430. The licensee will immediately add the reconciled dispositions of [REDACTED] firearms and close the [REDACTED] "duplicate entries" found within his A&D records.

An ATF F 3310.11 was completed and forwarded to the ATF-Stolen Firearms Branch for the firearms that dispositions could not be reconciled with the required records. The licensee will use the ATF Issued Incident Number to complete the disposition entries within the required records. The licensee will notify the ATF Stolen Firearms Branch if any of the firearms are located by the licensee to stolenfirearmsprogrammanager@atf.gov or Phone: 1-888-930-9275/ Fax: (304)-260-3671.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

The licensee failed to complete the ATF Forms 4473 as required by the directions provided on the forms in violation of 27 CFR 478.21(a), affecting (b)(3) 112 Public forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

For all future transactions, the licensee was instructed to double check his ATF Forms 4473 for accuracy and completeness prior to allowing the firearm to leave his business. The licensee was requested to attempt to make contact with the purchasers via telephone or certified mail and request that they return to the business premises and make revisions to a copy of the applicable ATF F 4473. Any corrections made are to be initialed and dated by the purchaser and the photocopy is to be attached to the original Form 4473.

Citation: 27 CFR 478.21(a)

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License/Permit/Registry Number <i>(If any)</i> 158023029G39410		Expiration Date 7/1/2019	Date(s) or Period of Inspection 07/19/2015 through 07/19/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee failed to obtain a complete and accurate ATF Form 4473 from the transferee prior to the transfer of a firearm to a non-licensee in violation of 27 CFR 478.124(c)(1), affecting forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

For all future transactions, the licensee was instructed to double check his ATF Forms 4473 for accuracy and completeness prior to allowing the firearm to leave his business. The licensee was requested to attempt to make contact with the purchasers via telephone or certified mail and request that they return to the business premises and make revisions to a copy of the applicable ATF F 4473. Any corrections made are to be initialed and dated by the purchaser and the photocopy is to be attached to the original Form 4473.

Citation: 27 CFR 478.124(c)(1)

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

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License/Permit/Registry Number <i>(If any)</i> 158023029G39410		Expiration Date 7/1/2019	Date(s) or Period of Inspection 07/19/2015 through 07/19/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

The licensee failed to document on the ATF Form 4473 the required information for the background check and all responses provided by NICS in Section B, Item # 21a through 21d, in violation of 27 CFR 478.124(c)(3)(iv), affecting

27 CFR 478.124(c)(3)(iv)

 forms:

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will make corrections to photocopies of the applicable ATF Forms 4473. Licensee will initial and date all corrections and attach the photocopies to the original ATF Form 4473.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

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For Official Use Only

ATF E-Form 5030 5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 158023029G39410		Expiration Date 7/1/2019		Date(s) or Period of Inspection 07/19/2015 through 07/19/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 5

Nature of Violation:

The licensee failed to record on the ATF Form 4473 in Section D, Item # 36, an accurate date in which the firearm was transferred to a non-licensee in violation of 27 CFR 478.124(c)(5), affecting

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

 forms:

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will make corrections to photocopies of the applicable ATF Forms 4473. Licensee will initial and date all corrections and attach the photocopies to the original ATF Form 4473.

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For Official Use Only

ATF E-Form 5030 5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

The licensee failed to record all state permit information as required by the ATF Form 4473 in Section B, Item # 23 and/or did not attach a copy of the state permit to the respective ATF Form 4473 in violation of 27 CFR 478.131(a), affecting form:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.131(a)(2)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to follow the instructions provided on the ATF Form 4473, Section B, Item # 23. The licensee will not honor a state permit as an exemption to NICS unless the buyer has a valid permit for the state in which the transfer is to take place.

Number: 7

Nature of Violation:

The licensee accepted a non-qualifying Florida permit as an exemption to the NICS requirement in violation of 27 CFR 478.102(a), occasion:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.102(a)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to follow the instructions provided on the ATF Form 4473, Section B, Item # 23. The licensee will not honor a state permit as an exemption to NICS unless the buyer has a valid permit for the state in which the transfer is to take place.

* A subsequent background check was conducted on the above transferee with no prohibiting information found.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer

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Date

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ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
Macon, GA 31210

September 30, 2016

www.atf.gov

760555 (b) (6)
5300

CERTIFIED MAIL

Mr. John E. Harris
Cochran Coin & Gun Shop, Inc.
117 North Second Street
Cochran, Georgia 31014

FFL# 1-58-023-02-9G-39410

Dear Mr. Harris:

During a recent compliance inspection at your firearms business covering the period of July 2015 through July 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, explaining your violations was issued at the time of the inspection.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

-2-

Cochran Coin & Gun Shop, Inc., 1-58-023-02-9G-39410

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b) (6) at (b)(6) .

Sincerely yours,

(b)(6)

Area Supervisor

c: Federal Firearms Licensing Center
Atlanta Field Division