

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Rouse Grocery Inc 4397 Albany Ave Waycross, GA 31503, Ware		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 760555-2016-0297-B1B	
		3. PERMIT/LICENSE NUMBER 158299018K40162	4a. TARGET DATE 9/30/2016
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) , Area Supervisor, 06/23/2016			
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct compliance inspection in accordance with current guidelines. *** Please check for possible hidden ownership.			
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	
NO. OF VIOLATIONS	5	NO. OF REFERRALS	1
NO. OF TECS CHECKS	45	NO. OF TECS HITS	1
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.

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11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 09/29/2016

The licensee was cited for a total of 5 violations during this inspection of which 2 are repeat violations. According to ATF O 5370.1B the following violations cited during this inspection warrant Administrative Action:

#1-Licensee failed to execute an ATF F 4473 in (b)(6) instances. Each of these instances involved the transfer (b)(3) - 26 USC 6103 non-licensees. According to ATF O 5370.1B, this violation would warrant a Warning Conference.

#2-Licensee failed to conduct a NICS background check prior to the transfer of a firearm to a non-licensee (b)(3) - 26 USC 6103 instance. In this instance, the licensee accepted a Florida Concealed Weapons License as an alternative to conducting a NICS background check at the time of sale. According to ATF O 5370.1B, this violation would warrant a Warning Letter.

#4. Licensee failed to complete and submit (b)(6) ATF Form 3310.4 (Multiple Sale Form) when required. This is a repeat violation from the last inspection in 2010 and would warrant a Warning Letter according to ATF O 5370.1B.

In regards to Violation #1; the licensee stated that he was not aware that a 4473 was required on (b)(3) - 26 USC 6103

(b)(3) - 26 USC 6103

(b)(3) - 26 USC 6103

firearms.

Investigator (b)(6) conducted background checks on the individuals receiving firearms on

The licensee stated that he has considered discontinuing sales (b)(3) - 26 USC 6103

In regards to Violation #2; this transaction was completed by an employee who is no longer with the company. Vice President Stacey Rouse is currently the sole person responsible for completing all firearms related activities and has no plans to hire additional staff at this time. Mr. Rouse is aware that only Georgia Weapons Carry Licenses can be accepted as an alternative to conducting a NICS background check. Investigator (b)(6) conducted a background check on this individual during the inspection and found no prohibiting information.

In regards to Violation #4, this is a repeat violation from the 2010 inspection, but the licensee has shown improvement as the number of instances has decreased to (b)(6). Also, (b)(3) - 26 USC 6103 occurred within approximately 2 weeks of the beginning of the unannounced inspection. During this time Vice President Stacey Rouse had been (b)(6) and had not been working much.

This is the licensee's 4th compliance inspection since the FFL was originally issued in 1997. The licensee has no previous adverse action history beyond the issuance of a Report of Violations. Only 1 of the violations cited during this inspection warrants a Warning Conference. The licensee stated several times during the inspection that business has significantly slowed down and he has considered discontinuing the FFL. Based on the considerations noted above, Investigator (b)(6) alternatively recommends the issuance of a Warning Letter without a Recall Inspection.

FFLC: please correct the business phone number on file from (b)(6) " to "(912) 285-7366"

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)
JUL 2016		3.00
AUG 2016		43.00
SEP 2016		.50
ATF OFFICER'S SUBTOTAL	46.50	ATF OFFICER'S SIGNATURE
TOTAL HOURS	46.50	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

This is the licensee's 4th compliance inspection since the FFL was originally issued in 1997. The licensee has no previous adverse action history beyond the issuance of a Report of Violations. Only 1 of the violations cited during this inspection warrants a Warning Conference. The licensee stated several times during the inspection that business has significantly slowed down and he has considered discontinuing the FFL. Based on the considerations noted above, Investigator (b) (6) alternatively recommends the issuance of a Warning Letter without a Recall Inspection.

Inspection report file was reviewed and concur with the recommendation of the IOI for a WL and No Recall based on the information provided.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

09/29/2016

REVIEW COMMENTS AND RECOMMENDATION

Out of the five violations disclosed from this investigation, a total of three warrants an administrative action of a warning letter for the violation of 27 CFR 478.126a and 27 CFR 478.102(a)(1), and a warning conference for the violation of 27 CFR 478.124(a); however, based on no previous adverse actions involving this FFL, the recommendation of a warning letter is supported.

The warning letter should be issued and a copy uploaded in NSPECT before closing the assignment by COB 9/30/2016.

Emailed A/S (b)(6) and S/O (b)(6) on 9/30/2016.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

DDMITCHEL2 - Director, Industry Operations

Dina D Mitchell

REVIEW DATE

09/30/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Rouse Grocery Inc	Street Address 4397 Albany Ave	City Waycross	State GA	Zip Code 31503-	County Ware	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 158299018K40162		Expiration Date 10/1/2018	Date(s) or Period of Inspection 08/03/2016 through 08/09/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to execute an ATF Form 4473 prior to the transfer of firearms to non-licensees in 1 instances.

(b)(3) - 26 USC 6103 were transferred to non-licensees without completing an ATF Form 4473 in the following transactions

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Complete an ATF Form 4473 prior to conducting transfers of all firearms (b)(3) - 26 USC 6103 to non-licensees.

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For Official Use Only

ATF E-Form 5030 5
Revised April 2005

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License/Permit/Registry Number <i>(If any)</i> 158299018K40162		Expiration Date 10/1/2018	Date(s) or Period of Inspection 08/03/2016 through 08/09/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Licensee failed to conduct a NICS background check prior to the sale of a firearm to a non-licensee [REDACTED] stance.	Corrective Action to be Taken: Conduct NICS background checks prior to completing the transfer of firearms to all residents of other states. <i>(If not corrected immediately)</i>
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	

Citation: 27 CFR 478.102(a)(1)

Number: 3	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Licensee failed to create and maintain an accurate and complete record of acquisition & disposition in [REDACTED] instances. [REDACTED] unrecorded dispositions [REDACTED] unrecorded acquisition	Corrective Action to be Taken: The licensee completed corrective action immediately during the inspection by recording the omitted entries in the A&D record. <i>(If not corrected immediately)</i>
Citation: 27 CFR 478.125(e)	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number <i>(If any)</i> 158299018K40162		Expiration Date 10/1/2018	Date(s) or Period of Inspection 08/03/2016 through 08/09/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:
Licensee failed to complete and submit ^(b) ATF Form 3310.4 (Multiple Handgun Sales Form) when required.

(b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee completed corrective action immediately during the inspection period by submitting the Multiple Handgun Sales Form.

Number: 5

Nature of Violation:
Licensee failed to properly document NICS background checks on ^(b) ATF Forms 4473.

(b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Thoroughly review forms prior to conducting transfers of firearms to all non-licensees to ensure that all required information is properly documented.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

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ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
Macon, GA 31210

September 30, 2016

www.atf.gov

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CERTIFIED MAIL

Rouse Grocery, Inc.
4397 Albany Ave.
Waycross, GA 31503

FFL# 1-58-299-01-8K-40162

Mr. Rouse:

During a recent compliance inspection at your firearms business covering the period of August 3, 2016-August 9, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, explaining your violations was issued at the time of the inspection.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b) (6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

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Rouse Grocery Inc., 1-58-40162

Should you have any questions regarding this matter, please contact Investigator (b) (6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

c: Federal Firearms Licensing Center
Atlanta Field Division